**Model C37**

**Portability Exercise**

1. **Identification of the Client / Representative of Clients Group**

|  |  |
| --- | --- |
| Name: | VAT No: |
| Address: | |
| Email: | Tel: |

1. **Motive for the Portability Exercise by the Client**

|  |  |  |  |
| --- | --- | --- | --- |
| Change of Clearing Member  *(The current Clearing Member is not in a default situation under the terms of Instruction B18 - Procedures in Case of Default)* |  | Default of current Clearing Member  *(The current Clearing Member is in default under the terms of Instruction B18 - Procedures in Case of Default)* |  |

1. **Clearing Member to which the Positions and Guarantees will be Transferred**

|  |  |
| --- | --- |
| Name: | VAT No: |

1. **Identification of Original and Target Registration Accounts for the Trades Transfer**

|  |  |  |
| --- | --- | --- |
| **Original Registration Account (O1):** |  | **Target Registration Account (T1):** |
| |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  | **T** |  |  | | **🡺** | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  | **T** |  |  | |

|  |  |  |
| --- | --- | --- |
| **Original Registration Account (O2):** |  | **Target Registration Account (T2):** |
| |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  | **T** |  |  | | **🡺** | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  | **T** |  |  | |

|  |  |  |
| --- | --- | --- |
| **Original Registration Account (O3):** |  | **Target Registration Account (T3):** |
| |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  | **T** |  |  | | **🡺** | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  | **T** |  |  | |

1. **Identification of Original and Target Clearing Accounts for the Positions Transfer**

|  |  |  |
| --- | --- | --- |
| **Original Clearing Account:** |  | **Target Clearing Account:** |
| |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  | **C** |  |  | | **🡺** | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  | **C** |  |  | |

1. **Details on the Trades Net Balance to be Transferred to the Target Registration Account**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **NC** | **Contract Code** |  | **NC** | **Contract Code** |  | **NC** | **Contract Code** |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

**NC = No. of Contracts**

1. **Details on the Guarantees to be Transferred to the new Clearing Member**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Guarantee Type:** | Cash |  | Securities |  |
| **Guarantee Amount (€):** |  | | *(Nominal Value):* | |

1. **Declaration and Signatures of Client, Registration Agent and Clearing Member**

**8.1 Signature of the Client / Clients Group Representative**

|  |
| --- |
| *I hereby request OMIClear to exercise the portability based on the specifications provided in the preceding numbers, in accordance with the Instructions A05 – Registration Accounts and Clearing Accounts and B18 – Procedures in Case of Default. I also declare that I have informed my Clearing Member that holds the current Positions, about this portability activation.* |

|  |  |
| --- | --- |
| Signature**:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  *(Client Responsible or Group of Clients Representative, with powers to bind the company)* | Date: / / |

**8.2 Signature of the Registration Agent Receiver of the Trades Transfer**

|  |
| --- |
| *I hereby declare the acceptation of the transfer of the Trades net balance identified in no. 6 to the target Registration Account(s) referred in no. 4, in accordance with the Instructions A05 – Registration Accounts and Clearing Accounts and B18 – Procedures in Case of Default.* |

|  |  |
| --- | --- |
| Signature**:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  *(Registration Agent Operational Manager)* | Date: / / |

**8.3 Signature of the Clearing Member Receiver of the Positions Transfer**

|  |
| --- |
| *I hereby declare the acceptation of the transfer of the Positions resulting from the trades net balance identified in no. 6 to the target Clearing Account referred in no. 5 and the Guarantees referred in no. 7, in accordance with the Instructions A05 – Registration Accounts and Clearing Accounts and B18 – Procedures in Case of Default. I also declare that while the new Clearing Agreement is not presented, I am aware of the limitations on the management of the target Clearing Account.* |

|  |  |
| --- | --- |
| Signature**:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  *(Clearing and Settlement Manager)* | Date: / / |