|  |  |
| --- | --- |
| **Registration** |  |
| **Cancellation** |  |
| **Modification** |  |

Model T07 / C33

Management of Trading Accounts (OMIP) and Registration Accounts (OMIClear)

1. **Ownership of the Trading / Registration Account** *(select only one option: A) or B))*

**A)** **The account’s owner is a Trading Member in OMIP and Registration Agent in OMIClear:**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |   Entity: System Code: |

**B) The account’s owner is a Client of a Trading Member in OMIP (and client of a Registration Agent in OMIClear).**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| * **No. of Clients:** | Individual Client |  | *or* | Group of Clients |  |
| * **Identification:** | Client(s) Identified  ***Fill out Appendix I*** |  | *or* | Client(s) Not Identified |  |

1. **Identification of the Clearing Member**

|  |
| --- |
| Entity: |

1. **Characterization of the Trading / Registration Account**

**3.1 Account Type (purpose):**

|  |  |  |
| --- | --- | --- |
| **Power Trading/Registration Account** |  | *To register trades on* ***Power*** *Derivatives Contracts* |
| **Natural Gas Trading/Registration Account** |  | *To register trades on* ***Natural Gas*** *Derivatives Contracts* |

**3.2 Account Characterization:**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Code of the Trading Account (at OMIP) = Code of the Registration Account (at OMIClear)**  **(1)** | **Type of Acting** | | **Daily Account**  **(3)** | **Code of the respective Clearing Account (4)** | **Type of the Clearing Account concerned** | | | | **Code of the Physical Settlement Account concerned (6)**  ***(only fill out if Trading/Registration Account is Physical)*** |
| Own | Client’s  (2) | Own | Client’s (5) | | |
| GO | IS | OS |
| If Power Financial Account:  If Power Physical Account:   |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | | **F** |  |  |  |  | **T** |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | | **P** |  |  |  |  | **T** |  |  |   Natural Gas Financial Account:   |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | **N** | **G** | **\_** | **F** |  |  |  |  | **T** |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | **N** | **G** | **\_** | **P** |  |  |  |  | **T** |  |  |   If Natural Gas Physical Account: |  | *Position*    *Give-up* | *Yes*    *No* | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  | **C** |  |  | |  |  |  |  | If Power Physical Settlement Account (please insert the code of “UCP” account with OMIE):  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  If Natural Gas Physical Settlement Account (please insert the EIC – *Energy Identification Code*):  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

1. **Declarations and Signatures**

|  |
| --- |
| ***We hereby declare to have fully acknowledgment of the rights and obligations inherent to the type of Clearing Account selected in the previous table (regarding the collateral segregation regime), which are defined in OMIClear Instructions A05 - Accounts and B18 - Procedures in Case of Default.*** |

**Signature of the Trading Member / Registration Agent or Signature of the Client of the Trading Member / Registration Agent:**

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

*[Signature of the Trading Member Manager / Registration Agent or Signature of the Client’s Representative]*

**Signature of the Clearing Member:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

*[Signature of the Clearing and Settlement Manager of the Clearing Member]*

**Date**: \_\_\_\_\_ /\_\_\_\_\_\_ /\_\_\_\_\_\_

***GUIDE TO COMPLETING THIS FORM***

1. **The Trading Account in OMIP corresponds to a Registration Account in OMIClear, both sharing the same code in the 2 systems (Trayport and MiClear).**

A code shall be assigned for the Trading/Registration Account according to the following structure:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **N** | **G** | **\_** | **F/P** |  |  |  |  |  |  | **T** |  |  |

**(a) (b) (c) (d) (e)**

**(a)** 3 fixed characters which only apply if the account is a Natural Gas Trading/Registration Account.

**(b)** Defines the Settlement type of the account: “F” for Financial Trading/Registration Account (to register trades on Contracts with financial settlement), or “P” for Physical Trading/Registration Account (to register trades on Contracts with settlement by physical delivery),

**(c)** Complete with member’s code in OMIP and OMIClear systems.

**(d)** Separator character. “T” refers to **T**rading Account (which corresponds to a Registration Account in OMIClear).

**(e)** Complete with 2 alphanumeric characters to identify the Trading/Registration Account.

1. Position Client Trading Account – account that is used to trade and register trades of the Trading Member’s Client that, not having access to OMIP’s trading/registration system (Trayport), the trades are performed by the Trading Member with which its signed a Declaration of Client’s Representation (Model T06). There is no direct clearing relationship between the Client and the Clearing Member but between the Trading Member and the Clearing Member.

Give-up Client Trading Account - account that is used to trade and register trades whereby the action of the Trading Member is limited to orders execution on behalf of its Client. There is a direct clearing relationship between the Client and the Clearing Member.

1. The “Daily Account” only applies to Trading Members acting on third party account (*brokers*). Complete:
   * “No” – if the account is used to register trades that are intended to be kept on this account (*standard* model);
   * “Yes” – if the account is used only for transitory purposes during the session, i.e., to be later transferred (“give-up transfer”) to other account owners (which shall confirm this give-up transfer).
2. Please identify the Clearing Account code (own or account opened with the General Clearing Member).
3. If the Clearing Account is a client’s account, i.e. held by a General Clearing Member (with which the Trading Member or Client has signed a Clearing Agreement, please define the type of account in terms of collateral protection level agreed with such Clearing Member, according to the terms defined in OMIClear Instructions A05 – Accounts and B18 – Procedures in case of Default:
   * **GO** –Generic Omnibus Client Clearing Account
   * **IS** – Client Clearing Account with Individual Segregation
   * **OS** – Client Clearing Account with Omnibus Segregation
4. If the Trading/Registration Account is Physical please identify the code of the respective Power or Natural Gas Physical Settlement Account (own or account opened with the Physical Settlement Agent).

Appendix I

*To be filled out only if option “Client(s)” Identified” was selected in section 1. B)*

Identification of the Client(s)

|  |  |  |
| --- | --- | --- |
| Name of **Client 1**: | VAT no: | |
| Address: |  | |
| ZIP Code/City: | Country: |
| Telephone and Fax: | E-mail: |

|  |  |
| --- | --- |
| Name of **Client 2**: | VAT no: |
| Address: |  |
| ZIP Code/City: | Country: |
| Telephone and Fax: | E-mail: |

|  |  |  |
| --- | --- | --- |
| Name of **Client 3**: | VAT no: | |
| Address: |  | |
| ZIP Code/City: | Country: |
| Telephone and Fax: | E-mail: | |