

## List of Central Counterparties authorised to offer services and activities in the Union

The Central Counterparties (CCPs) listed below have been authorised to offer services and activities in the Union in accordance with Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories (EMIR).

The tables below are published by ESMA in accordance with Article 88(1) of EMIR.

**Table 1: List of CCPs that have been authorised to offer services and activities in the Union**

No	Name of the CCP	Identification Code of CCP (LEI)	Established in the Union or in a Third Country	Country of establishment	Competent authority (if established in the Union)	Date of initial authorisation
1	Nasdaq OMX Clearing AB	54930002A8LR1AA UCU78	In the Union	Sweden	Finansinspektionen	18 March 2014
2	European Central Counterparty N.V.	724500937F740MH CX307	In the Union	Netherlands	De Nederlandsche Bank (DNB)	1 April 2014
3	KDPW_CCP	2594000K576D5CQ XI987	In the Union	Poland	Komisja Nadzoru Finansowego (KNF)	8 April 2014
4	Eurex Clearing AG	529900LN3S50JPU 47S06	In the Union	Germany	Bundesanstalt für Finanzdienstleistungs aufsicht (Bafin)	10 April 2014
5	Cassa di Compensazione e Garanzia S.p.A. (CCG)	8156006407E264D2 C725	In the Union	Italy	Banca d'Italia	20 May 2014
6	LCH SA	R11O4YJ0O79SMW VCHB58	In the Union	France	Autorité de Contrôle Prudentiel et de Résolution (ACPR)	22 May 2014
7	European Commodity Clearing	529900M6JY6PUZ9 NTA71	In the Union	Germany	Bundesanstalt für Finanzdienstleistungs aufsicht (Bafin)	11 June 2014

	LCH Ltd <sup>1</sup>	F226TOH6YD6XJB 17KS62	In the Union	United Kingdom	Bank of England	12 June 2014
8	Keler CCP	529900MHIW6Z8O TOAH28	In the Union	Hungary	Central Bank of Hungary (MNB)	4 July 2014
	CME Clearing Europe Ltd <sup>2</sup>	6SI7IOVECKBHVY BTB459	In the Union	United Kingdom	Bank of England	4 August 2014
9	CCP Austria Abwicklungsstelle für Börsengeschäfte GmbH (CCP.A)	529900QF6QY66Q ULSI15	In the Union	Austria	Austrian Financial Market Authority (FMA)	14 August 2014
	LME Clear Ltd <sup>3</sup>	213800L8AQD59D3 JRW81	In the Union	United Kingdom	Bank of England	3 September 2014
10	BME Clearing	5299009QA8BBE2 OOB349	In the Union	Spain	Comisión Nacional del Mercado de Valores (CNMV)	16 September 2014
11	OMIClear - C.C., S.A.	5299001PSX07X2J X4W10	In the Union	Portugal	Comissão do Mercado de Valores Mobiliários (CMVM)	31 October 2014
11	ICE Clear Netherlands B.V. <sup>4</sup>	7245003TLNC4R9X FDX32	In the Union	Netherlands	De Nederlandsche Bank (DNB)	12 December 2014
13	Athens Exchange Clearing House (Athex Clear)	213800IW53U9JMJ 4QR40	In the Union	Greece	Hellenic Capital Market Commission	22 January 2015
	ICE Clear Europe Limited (ICE Clear Europe) <sup>5</sup>	5R6J7JCQRIPQR1 EEP713	In the Union	United Kingdom	Bank of England	19 September 2016
14	SKDD-CCP Smart Clear d.d (SKDD-CCP)	747800E0OA8S9C M7RR46	In the Union	Croatia	Hrvatska agencija za nadzor financijskih usluga (HANFA)	29 October 2021

<sup>1</sup> LCH Limited ceased being an authorized European Central Counterparty on Brexit day (i.e. the date on which the Treaties ceased to apply to and in the United Kingdom pursuant to Article 50(3) of the Treaty on European Union).

<sup>2</sup> CME's authorisation was partially renounced and withdrawn under Article 20 of EMIR on 23 June 2017 (some instruments were de-authorized as the CCP was winding down). The authorization was totally renounced and withdrawn on 12 October 2017. Please, see table 2 below and the [Public Register for the clearing obligation under EMIR](#).

<sup>3</sup> LME Clear Ltd ceased being an authorized European Central Counterparty on Brexit day (i.e. the date on which the Treaties ceased to apply to and in the United Kingdom pursuant to Article 50(3) of the Treaty on European Union).

<sup>4</sup> Previously named Holland Clearing House B.V.

<sup>5</sup> ICE Clear Europe Limited ceased being an authorized European Central Counterparty on Brexit day (i.e. the date on which the Treaties ceased to apply to and in the United Kingdom pursuant to Article 50(3) of the Treaty on European Union).

**Table 1 bis: List of CCPs that have been granted an extension of authorisation**

No	Name of the CCP	Identification Code of CCP (LEI)	Established in the Union or in a Third Country	Country of establishment	Competent authority (if established in the Union)	Date of extension of authorisation
1	CME Clearing Europe Ltd <sup>6</sup>	6SI7IOVECKBHVY BTB459	In the Union	United Kingdom	Bank of England	9 January 2015
						18 September 2015
						30 October 2015
2	Nasdaq OMX Clearing AB	54930002A8LR1AA UCU78	In the Union	Sweden	Finansinspektionen	25 February 2015 <sup>7</sup>
3	LCH Ltd <sup>8</sup>	F226TOH6YD6XJB 47KS62	In the Union	United Kingdom	Bank of England	27 March 2015
						21 July 2016
						20 November 2017
4	Eurex Clearing AG	529900LN3S50JPU 47S06	In the Union	Germany	Bundesanstalt für Finanzdienstleistungs aufsicht (BaFin)	3 July 2015
						18 Dec. 2017
5	BME Clearing	5299009QA8BBE2 OOB349	In the Union	Spain	Comisión Nacional del Mercado de Valores (CNMV)	21 July 2015
						18 May 2017
						7 March 2019
						4 October 2021
6	KDPW_CCP	2594000K576D5CQ XI987	In the Union	Poland	Komisja Nadzoru Finansowego (KNF)	9 August 2016 31 October 2019
7	LCH SA	R11O4YJ0O79SMW VCHB58	In the Union	France	Autorité de Contrôle Prudentiel et de Résolution (ACPR)	30 May 2017
						29 November 2017
						17 August 2022
8	OMIClear - C.C., S.A.	5299001PSXO7X2J X4W10	In the Union	Portugal	Comissão do Mercado de Valores Mobiliários (CMVM)	5 April 2018
9	ICE Clear Netherlands B.V. <sup>9</sup>	7245003TLNC4R9X FDX32	In the Union	Netherlands	De Nederlandsche Bank (DNB)	13 July 2018

<sup>6</sup> CME's authorisation was partially renounced and withdrawn under Article 20 of EMIR on 23 June 2017 (some instruments were de-authorised as the CCP was winding down). The authorization was totally renounced and withdrawn on 12 October 2017. Please, see table 2 below and the [Public Register for the clearing obligation under EMIR](#).

<sup>7</sup> Nasdaq OMX Clearing AB's extension of the initial authorisation was renounced and withdrawn under Article 20 of EMIR on 3 March 2016

<sup>8</sup> LCH Limited ceased being an authorized European Central Counterparty on Brexit day (i.e. the date on which the Treaties ceased to apply to and in the United Kingdom pursuant to Article 50(3) of the Treaty on European Union).

<sup>9</sup> Previously named Holland Clearing House B.V.

10	<del>ICE Clear Europe Limited (ICE Clear Europe)<sup>10</sup></del>	<del>5R6J7JCQRIPQR1 EEP713</del>	<del>In the Union</del>	<del>United Kingdom</del>	<del>Bank of England</del>	<del>31 July 2018</del>
11	Athens Exchange Clearing House (Athex Clear)	213800IW53U9JMJ 4QR40	In the Union	Greece	Hellenic Capital Market Commission	27 February 2020
12	European Central Counterparty N.V.	724500937F740MH CX307	In the Union	Netherlands	De Nederlandsche Bank (DNB)	21 July 2021
13	CCP Austria Abwicklungsstelle für Börsengeschäfte GmbH (CCP.A)	529900QF6QY66Q ULSI15	In the Union	Austria	Austrian Financial Market Authority (FMA)	19 October 2021

<sup>10</sup> ICE Clear Europe Limited ceased being an authorized European Central Counterparty on Brexit day (i.e. the date on which the Treaties ceased to apply to and in the United Kingdom pursuant to Article 50(3) of the Treaty on European Union).

**Table 2: Classes of financial instruments covered by the CCP's authorisation<sup>11</sup>**

Please refer to Table 3 below for more information on the definition of the classes			Nasdaq OMX Clearing AB	European Central Counterparty N.V.	KDPW_CC P	Eurex Clearing AG	CCG	LCH SA	European Commodity Clearing	LCH Ltd <sup>12</sup>	Keler CCP	CME Clearing Europe Ltd <sup>13</sup>		
MiFID financial instruments	Securities (financial instruments referred to in points 1, 2 and 3 of Section C of Annex I of MiFID)	Equity	OTC <sup>14</sup>		1 April 2014	8 April 2014	10 April 2014	20 May 2014	22 May 2014		<del>12 June 2014</del>	4 July 2014		
			RM <sup>15</sup>		1 April 2014	8 April 2014 <i>9 August 2016</i>	10 April 2014	20 May 2014	22 May 2014		<del>12 June 2014</del>	4 July 2014		
		Debt	OTC			8 April 2014 <i>9 August 2016</i>	10 April 2014	20 May 2014	22 May 2014		<del>12 June 2014</del>	4 July 2014		
			RM			8 April 2014 <i>9 August 2016</i>	10 April 2014	20 May 2014	22 May 2014			4 July 2014		
		Equity	OTC	18 March 2014	21 July 2021							<del>12 June 2014</del>		
			RM	18 March 2014	21 July 2021	8 April 2014	10 April 2014	20 May 2014	22 May 2014			<del>12 June 2014</del>	4 July 2014	
	Debt	OTC	18 March 2014			10 April 2014					<del>12 June 2014</del>			
		RM	18 March 2014		8 April 2014	10 April 2014						4 July 2014		
	Interest Rate	OTC	18 March 2014		8 April 2014 <i>9 August 2016</i>	10 April 2014					<del>12 June 2014</del>		4 August 2014 <sup>16</sup>	
		RM	18 March 2014		8 April 2014	10 April 2014					<i>21 July 2016</i>	4 July 2014	<del>18 September 2015</del> <sup>17</sup>	
	Inflation Rate	OTC				<i>3 July 2015</i>					<i>27 March 2015</i>			
		RM												
	Credit	OTC							22 May 2014 <i>30 May 2017</i>					

<sup>11</sup> Authorisation is granted on the basis of activities or services which share a common risk profile. Therefore, an extension of authorisation would be needed where the CCP intends to undertake additional activities or services which expose the CCP to new or increased risks, e.g. where the CCP intends to provide clearing services in respect of a class of financial instruments with a different risk profile or that has material differences from the CCP's existing product set, even where the additional activity service falls within one of the categories above for which the CCP has already received authorisation.

<sup>12</sup> LCH Limited ceased being an authorized European Central Counterparty on Brexit day (i.e. the date on which the Treaties ceased to apply to and in the United Kingdom pursuant to Article 50(3) of the Treaty on European Union).

<sup>13</sup> CME's authorisation was partially renounced and withdrawn under Article 20 of EMIR on 23 June 2017 (some instruments were de-authorised as the CCP was winding down). The authorization was totally renounced and withdrawn on 12 October 2017. Please, see the [Public Register for the clearing obligation under EMIR](#).

<sup>14</sup> Over The Counter (OTC): the execution of the security or derivative does not take place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR).

<sup>15</sup> Regulated Market (RM): the execution of the security or derivative takes place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR)

<sup>16</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

<sup>17</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

Please refer to Table 3 below for more information on the definition of the classes				Nasdaq OMX Clearing AB	European Central Counterparty N.V.	KDPW_CC P	Eurex Clearing AG	CCG	LCH SA	European Commodity Clearing	LCH Ltd <sup>12</sup>	Keler CCP	GME Clearing Europe Ltd <sup>13</sup>	
									29 Nov. 2017 29 Nov. 2017 17 Aug. 2022					
			RM											
		Currencies	OTC	25 February 2015 <sup>18</sup>			18 Dec. 2017					12 June 2014		
			RM	25 February 2015 <sup>19</sup>		8 April 2014	10 April 2014		22 May 2014				4 July 2014	4 August 2014 <sup>20</sup>
		Commodities	OTC	18 March 2014						11 June 2014	12 June 2014			4 August 2014 <sup>21</sup>
			RM	18 March 2014			10 April 2014	20 May 2014	22 May 2014	11 June 2014	12 June 2014	4 July 2014		4 August 2014 <sup>22</sup>
		Emission/Climatic	OTC	18 March 2014						11 June 2014	12 June 2014			
			RM	18 March 2014		31 October 2019	10 April 2014 <sup>23</sup>			11 June 2014				30 October 2015 <sup>24</sup>
		Freight	OTC							11 June 2014	12 June 2014			4 August 2014 <sup>25</sup>
			RM	7 April 2014 <sup>26 27</sup>						11 June 2014	12 June 2014			
Other	Repo	Equity Debt	OTC	18 March 2014		8 April 2014	10 April 2014	20 May 2014	22 May 2014		12 June 2014			
			RM					20 May 2014						
Other	Securities Lending	Equity Debt	OTC				10 April 2014 <sup>28</sup>							
Other	Collateralised € Deposits	N.A.	OTC					20 May 2014						
Other	Derivatives that are not MiFID financial instruments	Commodities, Emission/ Climatic, Freight								11 June 2014		4 July 2014		
Other	Assets that are not MiFID	Commodities, Emission/ Climatic,		18 March 2014			18 Dec. 2017			11 June 2014	20 Nov. 2017	4 July 2014	9 Jan. 2015 <sup>29</sup>	

<sup>18</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR on 3 March 2016.

<sup>19</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR on 3 March 2016.

<sup>20</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 30 June 2017.

<sup>21</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

<sup>22</sup> Authorization was renounced and withdrawn under Article 20 of EMIR for these instruments on 12 October 2017.

<sup>23</sup> Within this class, the authorisation of some products is limited until 31 July 2014.

<sup>24</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

<sup>25</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

<sup>26</sup> The class was covered by the initial authorisation of the CCP. However, this class was only introduced to the CCP product set as of 7 April 2014 following the merger with NOS Clearing.

<sup>27</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 21 January 2019.

<sup>28</sup> Authorization was renounced and withdrawn under Article 20 of EMIR for these instruments on 15 November 2021.

<sup>29</sup> Authorisation was renounced and withdrawn under Article 20 of EMIR for these instruments on 23 June 2017.

<i>Please refer to Table 3 below for more information on the definition of the classes</i>				Nasdaq OMX Clearing AB	European Central Counterparty N.V.	KDPW_CC P	Eurex Clearing AG	CCG	LCH SA	European Commodity Clearing	LCH Ltd <sup>12</sup>	Keler CCP	GME Clearing Europe Ltd <sup>13</sup>
	<b>financial instruments</b>	Freight/ Currencies											

**Table 2 (continued): Classes of financial instruments covered by the CCP's authorisation<sup>30</sup>**

Please refer to Table 3 below for more information on the definition of the classes			CCP.A	LME Clear Ltd <sup>31</sup>	BME Clearing	OMIClear	ICE Clear Netherlands B.V. <sup>32</sup>	Athex Clear	ICE Clear Europe <sup>33</sup>	SKDD-CCP	
MiFID financial instruments	<b>Securities</b> (financial instruments referred to in points 1, 2 and 3 of Section C of Annex I of MiFID)	Equity	OTC <sup>34</sup>			21 July 2015				29 October 2021	
			RM <sup>35</sup>	14 August 2014		21 July 2015		22 January 2015		29 October 2021	
		Debt	OTC			16 September 2014					29 October 2021
			RM	14 August 2014		16 September 2014			22 January 2015		29 October 2021
	<b>Derivatives</b> (financial instruments referred to in points 4 to 10 of Section C of Annex I of MiFID)	Equity	OTC					12 December 2014			
			RM			16 September 2014		13 July 2018	22 January 2015	19 September 2016	
		Debt	OTC								
			RM			16 September 2014				19 September 2016	
		Interest Rate	OTC			21 July 2015					
			RM							19 September 2016	
		Inflation Rate	OTC								
			RM								
		Credit	OTC							19 September 2016	
			RM								
		Currencies	OTC							19 September 2016	
			RM						22 January 2015		

<sup>30</sup> Authorisation is granted on the basis of activities or services which share a common risk profile. Therefore, an extension of authorisation would be needed where the CCP intends to undertake additional activities or services which expose the CCP to new or increased risks, e.g. where the CCP intends to provide clearing services in respect of a class of financial instruments with a different risk profile or that has material differences from the CCP's existing product set, even where the additional activity service falls within one of the categories above for which the CCP has already received authorisation.

<sup>31</sup> LME Clear Ltd ceased being an authorized European Central Counterparty on Brexit day (i.e. the date on which the Treaties ceased to apply to and in the United Kingdom pursuant to Article 50(3) of the Treaty on European Union).

<sup>32</sup> Previously named Holland Clearing House B.V.

<sup>33</sup> ICE Clear Europe Limited ceased being an authorized European Central Counterparty on Brexit day (i.e. the date on which the Treaties ceased to apply to and in the United Kingdom pursuant to Article 50(3) of the Treaty on European Union).

<sup>34</sup> Over The Counter (OTC): the execution of the security or derivative does not take place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR)

<sup>35</sup> Regulated Market (RM): the execution of the security or derivative takes place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR).



Please refer to Table 3 below for more information on the definition of the classes				CCP.A	LME Clear Ltd <sup>31</sup>	BME Clearing	OMIClear	ICE Clear Netherlands B.V. <sup>32</sup>	Athex Clear	ICE Clear Europe <sup>33</sup>	SKDD-CCP
		Commodities	OTC		3-September 2014	18 May 2017	31 October 2014			31 July 2018	
			RM		3-September 2014	16 September 2014	31 October 2014		22 January 2015 27 February 2020	19-September 2016	
		Emission/Climatic	OTC								
			RM							19-September 2016	
		Freight	OTC								
			RM							19-September 2016	
Other	Repo	Equity Debt	OTC			16 September 2014					
			RM				16 September 2014				
Other	Securities Lending	Equity Debt	OTC						22 January 2015		
Other	Collateralised € Deposits	N.A.	OTC								
Other	Derivatives that are not MiFID financial instruments	Commodities, Emission/ Climatic, Freight				18 May 2017	5 April 2018			31 July 2018	
Other	Assets that are not MiFID financial instruments	Commodities, Emission/ Climatic, Freight, Currencies		19 October 2021		18 May 2017				19-September 2016	
						7 March 2019					
						4 October 2021					

**Table 3: Definition of the classes of financial instruments covered by the CCP's authorisation**

				Definitions
MiFID financial instruments	Securities	Equity	OTC	Equity securities (including indices of equity securities) the execution of which does not take place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
			RM	Equity securities (including indices of equity securities) the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Debt	OTC	Debt securities the execution of which does not take place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
			RM	Debt securities the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
	Derivatives	Equity	OTC	Derivative contracts relating to equity securities (including indices of equity securities) which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to equity securities (including indices of equity securities) the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Debt	OTC	Derivative contracts relating to debt instruments which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to debt instruments the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Interest Rate	OTC	Derivative contracts relating to interest rates which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to interest rates the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Inflation Rate	OTC	Derivative contracts relating to inflation rates or other economic variables which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to inflation rates or other economic variables the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Credit	OTC	Derivative contracts relating to the transfer of credit risk which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to the transfer of credit risk the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Currencies	OTC	Derivative contracts relating to currencies which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to currencies the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Commodities	OTC	Derivative contracts relating to commodities which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to commodities the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
		Emission/ Climatic	OTC	Derivative contracts relating to climatic variables or emission allowances which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012
			RM	Derivative contracts relating to climatic variables or emission allowances the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID
Freight	OTC	Derivative contracts relating to freight rates which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012		
	RM	Derivative contracts relating to freight rates the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID		
Other			Services in respect of the clearing of instruments which are not a financial instrument under Section C of Annex I of MiFID	